

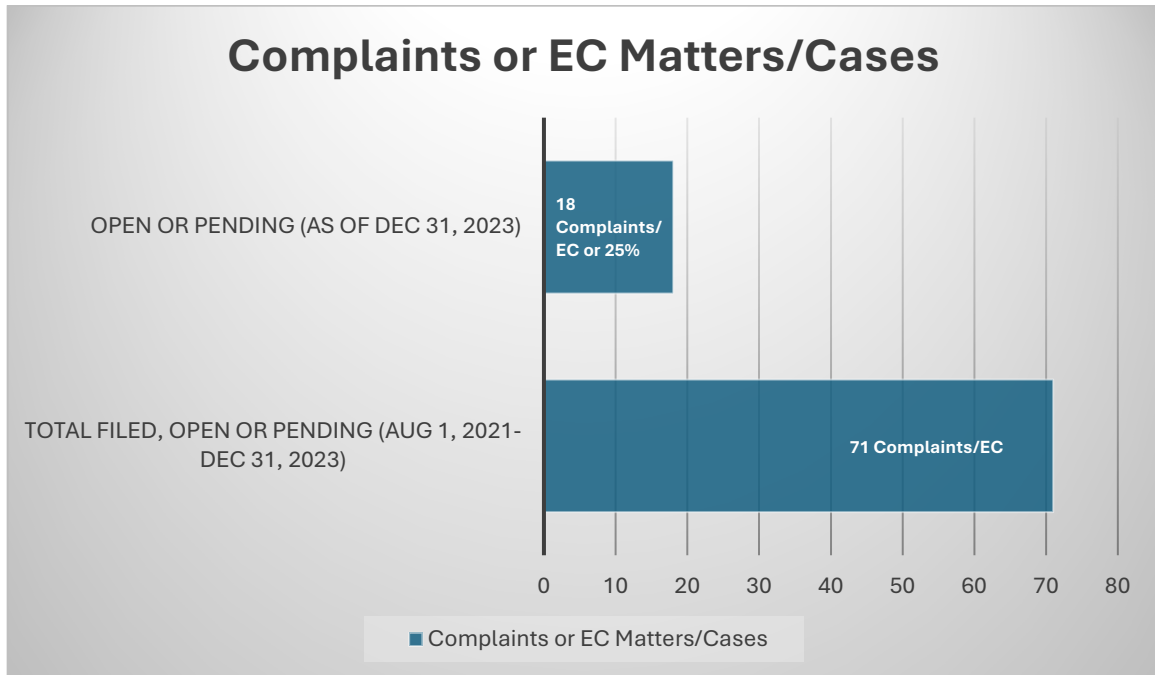


**ANNUAL REPORT  
OF THE  
OFFICE OF REGULATION COUNSEL  
AUGUST 1, 2021 – DECEMBER 31, 2023**

**Submitted by: Alicia A.G. Limtiaco, Esq.  
Regulation Counsel**

## Statistical Information

From August 1, 2021 to December 31, 2023, 71 complaints were filed or ethics complaint (“EC”) matters/cases were open or pending with the Office of Regulation Counsel, Supreme Court of Guam. Of these 71 complaints or EC matters/cases, 18 complaints, or 25%, remained open or pending as of December 31, 2023.



Please see the caseload processing statistical information for the reporting period from August 1, 2021 to December 31, 2023 below:

- ⚖️ Number of complaints filed or ethics complaint (“EC”) matters/cases open or pending through December 31, 2023: **71**
- ⚖️ Number of complaints filed or EC matters/cases open or pending before August 1, 2021 under the former Guam Bar Ethics Committee and the Office of the Ethics Prosecutor, which were transferred on August 1, 2021 to the newly established Comprehensive Lawyer Regulatory System including the Office of Regulation Counsel: **22**
- ⚖️ Number of new complaints filed or EC matters/cases open or pending with the Office of Regulation Counsel between August 1, 2021 to December 31, 2022: **29**
- ⚖️ Number of new complaints filed or EC matters/cases open or pending with the Office of Regulation Counsel between January 1, 2023 to December 31, 2023: **20**

- ⚖️ Number of complaints or EC matters/cases screened or evaluated between January 1, 2023 to December 31, 2023: **20**
  
- ⚖️ Number of complaints or EC matters/cases investigated or requiring additional information through December 31, 2023:<sup>1</sup>
  - ⚖️ Number of complaints or EC matters/cases investigated or requiring additional information between August 1, 2021 to December 31, 2022: **16**
  - ⚖️ Number of complaints or EC matters/cases investigated or requiring additional information between January 1, 2023 to December 31, 2023: **33**
  
- ⚖️ Number of complaints or EC matters/cases withdrawn through December 31, 2023: **2**
  - ⚖️ Number of complaints or EC matters/cases withdrawn between August 1, 2021 to December 31, 2022: **1**
  - ⚖️ Number of complaints or EC matters/cases withdrawn between January 1, 2023 to December 31, 2023: **1**
  
- ⚖️ Number of complaints or EC matters/cases dismissed through December 31, 2023: **48**
  - ⚖️ Number of complaints or EC matters/cases dismissed between August 1, 2021 to December 31, 2022: **22**
  - ⚖️ Number of complaints or EC matters/cases dismissed between January 1, 2023 to December 31, 2023: **26**
  
- ⚖️ Public disciplinary actions taken and private sanctions imposed through December 31, 2023: **3**
  - ⚖️ Public disciplinary actions taken and private sanctions imposed between August 1, 2021 to December 31, 2022: **2**
  - ⚖️ Public disciplinary actions taken and private sanctions imposed between January 1, 2023 to December 31, 2023: **1**

---

<sup>1</sup> Because this figure may include complaints or EC matters/cases carried over from a previous year, a total figure is not provided to avoid duplication in the count.

- ⚖️ Termination of disciplinary actions taken and private sanctions imposed through December 31, 2023: **1**
  - ⚖️ Termination of disciplinary actions taken and private sanctions imposed between August 1, 2021 to December 31, 2022: **0**
  - ⚖️ Termination of disciplinary actions taken and private sanctions imposed between January 1, 2023 to December 31, 2023: **1**
  
- ⚖️ Diversionary dispositions through December 31, 2023: **0**
  
- ⚖️ Number of attorney discipline cases (“ADC”) with orders of the Court relative to payments of restitution, costs, or fees open or pending through December 31, 2023: **4**
  
- ⚖️ Number of attorney discipline cases (“ADC”) with orders of the Court appointing counsel under *Rule 28 of the GRLDEDP (Appointment of Counsel to Protect Clients’ Interests when Respondent is Transferred to Disability Inactive Status, Suspended, Disbarred, Disappears, or Dies)* open or pending through December 31, 2023: **4**
  - ⚖️ Number of attorney discipline cases (“ADC”) with orders of the Court appointing counsel under *Rule 28 of the GRLDEDP (Appointment of Counsel to Protect Clients’ Interests when Respondent is Transferred to Disability Inactive Status, Suspended, Disbarred, Disappears, or Dies)* open or pending between August 1, 2021 to December 31, 2022: **3**
  - ⚖️ Number of attorney discipline cases (“ADC”) with orders of the Court appointing counsel under *Rule 28 of the GRLDEDP (Appointment of Counsel to Protect Clients’ Interests when Respondent is Transferred to Disability Inactive Status, Suspended, Disbarred, Disappears, or Dies)* open or pending between January 1, 2023 to December 31, 2023: **1**
  
- ⚖️ Number of petitions for resignation while in good standing filed by lawyers under *Rule 8(i) of the GRLDEDP* through December 31, 2023: **19**
  - ⚖️ Number of petitions for resignation while in good standing filed by lawyers under *Rule 8(i) of the GRLDEDP* between August 1, 2021 to December 31, 2022: **10**
  - ⚖️ Number of petitions for resignation while in good standing filed by lawyers under *Rule 8(i) of the GRLDEDP* between January 1, 2023 to December 31, 2023: **9**
  
- ⚖️ Number of complaints referred to the Judicial Discipline System of the Court through December 31, 2023: **7**

Further, the Office of Regulation Counsel received many inquiries from lawyers and the public regarding the lawyer disciplinary system and process, including the procedure for filing a complaint, the status and disposition of complaints, requests for lawyer disciplinary history, requests for certificates of good standing, and lawyer referral services. Inquiries and complaints regarding judges or judicial officers submitted to the Office of Regulation Counsel were referred to the Judicial Discipline System of the Court.